

Public Policies in Favelas and the Production of Urban Inequalities in Rio de Janeiro

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Abstract

In the past three decades, we have witnessed the worldwide development of new economic dynamics that have intensified the most perverse and harmful effects of globalization processes. The global economy has increasingly produced intense social vulnerability and has driven a large number of people out of the center of the economic and social order (Sassen, 2016). This economic model responds to a logic of financialization of all domains of social life, imposed by different political choices and decisions that result in the degradation of working conditions and the increase of precariousness and insecurity throughout the world (Harvey, 1985). These consequences are not new and have already been described and analyzed by authors such as Serge Paugam (1991), Robert Castel (1995), and Didier Fassin (1996), among others. However, as Saskia Sassen (2016) points out, in a broader sense, this logic of financialization and production of new inequalities underway in the contemporary world can be seen as a more profound systemic underlying tendency that articulates realities that unite us. They often seem disconnected, and their modes of action, which can be characterized by their complexity, may include different dynamics and even coexist with economic growth.

Index terms—

1 Introduction

In the past three decades, we have witnessed the worldwide development of new economic dynamics that have intensified the most perverse and harmful effects of globalization processes. The global economy has increasingly produced intense social vulnerability and has driven a large number of people out of the center of the economic and social order (Sassen, 2016). This economic model responds to a logic of financialization of all domains of social life, imposed by different political choices and decisions that result in the degradation of working conditions and the increase of precariousness and insecurity throughout the world (Harvey, 1985). These consequences are not new and have already been described and analyzed by authors such as Serge Paugam (1991), Robert Castel (1995), and Didier Fassin (1996), among others. However, as Saskia Sassen (2016) points out, in a broader sense, this logic of financialization and production of new inequalities underway in the contemporary world can be seen as a more profound systemic underlying tendency that articulates realities that unite us. They often seem disconnected, and their modes of action, which can be characterized by their complexity, may include different dynamics and even coexist with economic growth. These dynamics may, for example, be produced by new technologies or technical devices, as well as by specialized knowledge, the impacts of which have caused a new order of accelerations and disruptions in the process of economic globalization (Sassen, 2016).

National states are directly involved in these processes and, in most cases, are even at the origin of these new dynamics of inequality production. It is in this context that we can address a new way of governing populations. In recent decades, governments could have used the development of the global economy and its capacity for capital creation to integrate the most vulnerable social groups and increase the welfare of societies. Yet, as the

2 II. PUBLIC POLICIES FOR URBAN RENEWAL IN THE EARLY TWENTIETH CENTURY

imperatives of the financial system have guided public policies, State action has served, above all, to tear the social fabric by producing extreme inequality and increasingly complicated ways to govern populations. One of the areas that most highlights the variety of these new dynamics in several nations is the area of public policies for urban renewal and mass housing. From this point of view, analyzing the production of space (Lefebvre, 1974) of contemporary cities makes it possible to highlight the connections between this financialization process and the spread of neoliberal urban planning, owing to the entry of international capital into the real estate market, the privatization of public services, and the growing number of public-private partnerships in the implementation of urban policies. Authors such as David Harvey (1985; Harvey, 2003; Harvey, 2005), Neil Brenner & Nick Theodore (2002), Raquel Rolnik (2015), Saskia Sassen (2016), among others, have already delved into the participation of finance capital in the production of contemporary cities. Especially since the late 1970s, this financialization process, understood here as "the growing influence of financial markets over the unfolding of economy, polity and society" (French et al., 2011, p.798), has had an increasingly active participation in transforming the production of space and in creating new urban inequalities, seeing that urban land appreciation and real estate speculation put into practice one of the tenets of the financialization process, the production of wealth through the valuation of financial assets (Halbert, 2013, p.1).

From this perspective, Rio de Janeiro can be considered a symbolic case to think about the relations that may exist between public policies and the production of space and social inequalities. Rio's longterm urban development may thus reveal some peculiarities about the ways of governing impoverished populations and socio-spatial segregation in Brazil and Latin America, since the production of its space has always reaffirmed the idea of a market-oriented city, to the detriment of citizens' rights (Cunha, Carmam & Segura, 2013). Throughout Rio de Janeiro's urban history, many experiences in terms of public housing policies and urban renewal projects have contributed to expelling the poor from the most valued areas of the city. These populations were somewhat expelled from the *civitas*, that is, the political city (Cunha & Mello, 2011). Among the public policies implemented in the city's favelas, those that have become known as favela removal policies, or favela policies, have had dramatic consequences on the lives of its inhabitants and, in the long run, on the very definition of the urban morphology of Rio de Janeiro and its metropolitan area. Its multiple effects have left deep marks on the collective memory and the trajectory of the various social groups subjected to this forced removal (Brum, 2012; Cunha & Mello, 2012).

This article aims to analyze, through a retrospective ethnography (Burke, 1987; Cunha, 2005; Bezerra, 2015), the processes of implementation of these public policies in favelas, in their various forms and contexts, as well as their consequences, in terms of urban inequality production and socio-spatial segregation methods in the city of Rio de Janeiro. The analysis presented here was based on ethnographic research carried out in Rio de Janeiro's favelas, particularly the Santa Marta and the Chapéu Mangueira-Babilônia favelas, where I was conducting fieldwork when the government implemented the favela pacification policy in 2008, in preparation for hosting international sport mega-events, such as the 2014 World Cup and the 2016 Summer Olympics. However, to understand what was happening in these territories, from the narratives of the dwellers and the categories they used at that time, and to talk about their lived experiences in terms of public policies, it was necessary to refer to past scenarios, events, and characters. Understanding ethnography as a work of complex textual construction, this perspective seeks to restore the dialogue between anthropology and history, inscribing diachrony and synchrony as complementary dimensions of the socio-anthropological enterprise (Bezerra, 2015). At first, I will present the socio-historical reconstruction of this public policy implementation process in Rio's favelas. This reconstitution was put together by listening carefully to the lived experience accounts and the collective memory of favela dwellers. Next, I will present the context for the recent implementation of favela pacification policies. The direct observation of the effects of these policies on the dwellers' daily lives informed this section. Finally, I will highlight the relation between (1) the process of producing favela representations and social classifications and (2) the broader process of production of space in Rio de Janeiro through the implementation of public policies for urban renewal and mass housing. My objective is to analyze the long-term effects of these public policies in terms of the restructuring of the urban space and the production of social inequalities owing to the expulsion of the most impoverished populations from the most valued areas of the city.

2 II. Public Policies for Urban Renewal in the Early Twentieth Century

Even before the emergence of the favelas in late 19th century Rio de Janeiro, there were tenements, which were the main form of housing for the masses. These tenements were in fact old downtown buildings that were transformed by their owners into several dwellings and rented to poor people (Rocha & Carvalho, 1995; Gonçalves, 2010). The development of this type of mass housing in downtown Rio de Janeiro dates to the second half of the 19th century. The government have always deemed tenements unhealthy places, sources of disease and addiction, hideouts for criminals, and a constant threat to the social order (Chalhoub, 1996). This situation was reinforced in the late 19th century with the emergence of the favela (a slum, or a shantytown), where impoverished workers and freed slaves settled. In this early 20th century context, Rio saw the implementation of its first major urban renewal project. Many countries implemented the so-called urban renewal policies characterized by the demolition and reconstruction of housing units in working-class neighborhoods at different times in history

104 (Déboulet & Lelévrier, 2014). In the city of Rio, this initiative was the work of Mayor Pereira Passos, an
105 engineer with a degree from the Ecole de Mines of Paris who, in 1902, implemented a vast urban renewal and
106 sanitation program in the then capital city of the Republic of Brazil, particularly after the destruction of the
107 tenements. For the powers that be, this population belonged to the dangerous classes (Chevalier, 1958) and, as
108 such, should be far removed from the central and most affluent areas of the city (Cunha & Mello, 2011). From the
109 public authorities' perspective, this classification concerned not only health threats but, above all, the dangers
110 this population posed to public order. Like the tenements, the favelas began to represent not only the risk of
111 contagion and spread of diseases and epidemics, owing to their precarious and unhealthy housing conditions,
112 but also a risk of moral contamination. Thus, the State measures to combat the tenements and the first favelas
113 during this period were based on a social hygiene ideology and aimed to control the central space of the city,
114 expelling the poor and working classes and freeing up land for the real estate market (Benchimol, 1990; Chalhoub,
115 1996; Cunha, 2005). represented (Benchimol, 1990). In addition to tackling health issues, these public policies
116 sought to legitimize a set of extremely authoritarian decisions on urban restructuring in Rio de Janeiro, producing
117 significant socio-spatial segregation (Cunha, Carmam & Segura, 2013). This first major urban renewal in Rio de
118 Janeiro led to the destruction of 1,681 buildings and the eviction of around 20,000 people, triggering a demolition
119 and reconstruction movement that ushered in the development of the real estate market (Vaz, 1988; Rocha &
120 Carvalho, 1995).

121 As a result of these actions, the occupation of hills and the expansion of favelas became a public problem
122 (Dewey, 2010; Cefai, 2017a; Cefai, 2017b). Under the influence of representatives of Rio's political elite, who
123 played the role of moral entrepreneurs (Becker, 1985; Ogien, 2012) of hygienism, the diagnosis initially made for
124 the tenements was extended to the favelas, which were also classified as a contagious evil and a social pathology
125 that society must eradicate (Valladares, 2005). From this perspective, a notion of lack or absence have always
126 characterized favelas, not only from the standpoint of infrastructure and public services (such as piped water,
127 electricity, sewage services, waste disposal, etc.) but also from the moral point of view, as the government deemed
128 them territory without order, without rules, and full of promiscuity (Chalhoub, 1996; Silva, 2004). Thus, since the
129 early decades of the 20th century, public policy proposals for "eradicating" favelas have often been formulated.

130 Throughout the 1920s, favelas expanded considerably. By this time, a new concept of urbanism was beginning
131 to take shape beyond the policies inspired by the hygienist ideology. Under this new concept, which championed
132 the notions of modernity, efficiency, and aesthetics in the production of urban space, favelas were deviant spaces.
133 The fundamental assumption behind this classification of the favela was the idea that this type of dwelling
134 space was a form of urban occupation that was contrary to the rationalist principles of city organization and
135 development enforced by the government (Rocha & Carvalho, 1995; Cunha & Mello, 2012). Guided by this new
136 paradigm of urban planning and modernization, French architect Alfred Agache, the urban planner in Mayor
137 Prado Junior's administration, prepared the Plan of Extension, Renovation, and Beautification of the City of
138 Rio de Janeiro, aimed at restructuring the city based on functional and space hierarchy criteria (Agache, 1930).
139 This plan included the building of mass housing on the outskirts of Rio and deemed the eradication of favelas
140 fully justified.

141 In 1937, the Rio de Janeiro City Hall approved a new Building Code to guide urban public policies until the
142 1970s. The text gave special attention to mass housing and, according to Gonçalves (2010), was the first legal
143 document introducing the favela category into urban legislation. However, it did so in the form of a double ban:
144 it prohibited the creation of new favelas while preventing any improvement of existing dwellings in these spaces.
145 Thus, the Code adopted the same strategy previously used for the tenements, preventing any maintenance or
146 renovation work so that the property would reach such a degree of degradation that its destruction would be the
147 only possible solution. At the same time, it allowed the construction of new shacks on the hills on the outskirts of
148 the city. This way, legislation limited the creation of new favelas without entirely banning them. This ambiguous
149 form of recognition through tolerance (Gonçalves, 2010) eventually consolidated this kind of mass housing and
150 led many landowners to file lawsuits to reclaim the land then occupied by favelas.

151 **3 a) Favela eradication policies and resistance from residents**

152 Although the idea of eradicating forms of mass housing emerged in the early 20th century with Pereira Passos's
153 urban renovation, the government effectively implemented these public policies in favelas only from the 1940s
154 on (Valladares, 2005). They initiated with the so-called Proletarian Parks model and were later developed with
155 the building of Provisional Housing Centers (CHP) and finally of large housing projects on the outskirts of the
156 city, which received the residents of the largest favelas in Rio's more affluent South Zone 4. The Proletarian
157 Parks would temporarily house the people expelled from some favelas under the justification that these would
158 undergo renovation (Burgos, 1998; Valladares, 2005). Between 1942 and 1944, the destruction of four favelas
159 in Rio's South Zone brought 8,000 people to three Proletarian Parks. However, the promised renovation never
160 happened, and the Proletarian Parks eventually became new favelas. 4 The residents of Ilha das Dragas, Morro
161 do Pasmado, Praia do Pinto, Morro da Catacumba, and Favela do Esqueleto were expelled from their homes and
162 removed to housing projects such as Cidade de Deus, Cidade Alta, Vila Paciência, Vila Aliança, Vila Esperança,
163 Vila Kennedy, among others. 5 The government finally eradicated these new favelas in the 1960s. (Burgos,
164 1998; Gonçalves, 2010). This public policy also had a "civilizing" character and aimed to convert favela dwellers
165 to a new way of life, integrating them into the formal city through their segregation. It exercised an extremely

3 A) FAVELA ERADICATION POLICIES AND RESISTANCE FROM RESIDENTS

166 authoritarian social control of this population not only regarding how to use and maintain the housing units, but
167 also regarding local sociability and movement of residents (Burgos, 1998;Brum, 2012). Also, favela dwellers were
168 politically framed to secure support for the government. These public policies anticipated urban scenarios that
169 would later materialize, but their restrictive nature eventually provoked a strong reaction from the residents, who
170 began to form Resident Associations, Volume XX Issue I Version I 13 (H)

171 especially when new favela removals were announced (Leeds, 1978;Valladares, 2005;Silva, 2005).

172 In the late 1940s and early 1950s, moral entrepreneurs (Becker, 1985;Ogien, 2012) stepped up the public
173 campaign against shantytowns. At last, from 1962 to 1974, the favela removal policies took shape and were
174 implemented. During successive administrations of Governors Carlos Lacerda, Negrão de Lima, and Chagas
175 Freitas, the city of Rio de Janeiro suppressed 80 favelas, and about 140,000 residents were expelled from their
176 homes and forced to live in housing projects on the outskirts of the city. According to Lícia Valladares (2005, p.
177 133), this was the most important public intervention against the favelas that Rio de Janeiro has ever known.
178 It was the beginning of the Military Regime. This campaign was called "The Battle of Rio" and advocated
179 the implementation of partnerships between public and private institutions to solve once and for all the favela
180 problem (Silva, 2005). The economic interests of real estate developers and the government converged to promote
181 the re-appropriation of urban space in Rio de Janeiro. In 1948, the Rio de Janeiro City Hall conducted the
182 first favela population census, which demonstrated that favelas represented 7% of the city's total population.
183 The data also legitimized the public policy proposal to "eradicate favelas" or at least "prevent their further
184 development" (Prefeitura do Distrito Federal, 1949). It is in this context that the residents of the Borel favela,
185 with the help of lawyer Antoine de Margarino Torres, created the Union of Favela Workers(UTF) in 1954 (Lobo &
186 Stanley, 1989). In addition to defending favela dwellers against evictions and removals, this association focused
187 on land issues and played a critical role in mobilizing and promoting the collective action and resistance of
188 favela dwellers (Gonçalves, 2010;Cefai, 2007). Consequently, the disturbance imposed by a rationalist and
189 authoritarian planning model, supported by the violent actions of the State apparatus, would profoundly mark
190 the living conditions of a large number of impoverished people in Rio. These policies imposed forced residential
191 mobility on some of the inhabitants of a city already deeply marked by urban inequalities (Cunha & Mello,
192 2012). The permanent postulate of these actions was that the poor belonged to the margins, to the outskirts of the city. It
193 the Brazilian State and the US Agency for International Development (USAID) made it possible to obtain the
194 necessary resources to finance the favela eradication policy through the building of large housing projects. Thus
195 the real estate development sector benefited the most from these actions. margins, to the outskirts of the city. It
196 did not matter that these were areas where infrastructure and public services were virtually nonexistent. Thus,
197 these public policies were not meant to improve these population's living conditions or plan a less unequal and
198 unfair urban space. On the contrary, they were conceived as segregation policies aimed at expelling poor residents
199 from the central areas of the city (Cunha, Carmam & Segura, 2013).

200 All these operations, in terms of public policy, ultimately reinforced favela dwellers' resistance against these
201 forced removal policies, prompting countless favela resident associations to meet in 1963 and create the Federation
202 of Favela Associations of the State of Guanabara (FAFEG) 8 b) Praia do Pinto: the paradigm for the favela
203 eradication policies

204 , which called for legal recognition of these working-class housing spaces and access to public services.
205 During the 1960s and 1970s, FAFEG defended the right of dwellers to stay in the favelas and sought their
206 active participation in cooperative infrastructure improvement works (Bisilliat, 1995;Valladares, 2005). Yet, the
207 response of the military dictatorship to this resistance and the favela upgrading proposals was immediate. With
208 the dictatorship, the federal government resumed the eradication policies and decided to directly coordinate
209 actions in Rio's favelas, aiming to free up increasingly valued and coveted land for the real estate market. The
210 Metropolitan Area Housing for Social Interest Administration (CHISAM) was then created to plan and implement
211 this program. In this scenario, the military regime outlawed favela social movements and arrested some of their
212 main leaders on charges of communism (Lobo & Stanley, 1989;Gonçalves, 2010).

213 Despite their resistance, favela dwellers were unable to avoid the pressure of combined economic and political
214 forces or the violent methods employed by the government. Among the shanty towns the government eradicated
215 during this period, the case of the Praia do Pinto favela is symptomatic. Located between the Rodrigo de Freitas
216 Lake and the Leblon neighborhoods, highly affluent areas in Rio's South Zone, it was the priority target of the
217 favela eradication program. In 1969, a fire, the causes of which were never explained, destroyed it, reducing
218 its 105,000 m² to ashes (Burgos, 1998;Brum, 2012). The fire occurred at a time of tension between the favela
219 dwellers, who were mobilizing to face the threat of expulsion, and the repressive military government, which
220 accused and imprisoned several favela community leaders. This tragic episode forced the Praia do Pinto residents
221 to leave the land occupied by the favela where residential buildings would be later built for the middle class.
222 Some residents were relocated to housing projects, such as Cidade Alta and Vila Paciência, and others were
223 moved to the Provisional Housing Center (CHP), all situated on the outskirts of the city (Brum, 2012). A few
224 years later, this CHP originated the Maré favela, today considered one of the largest slums in the city of Rio,
225 housing about 140,000 residents. Finally, the remaining Praia do Pinto residents relocated to Cidade de Deus,
226 in Rio's West Zone, giving rise to a new favela, which today houses about 50,000 people, according to the 2010
227 Population Census conducted by the Brazilian Institute of Geography and Statistics (IBGE).

228 Resident removal operations in the Praia do Pinto favela were carried out by CHISAM agents, who contacted

229 the representatives of resident associations to let them know that dwellers were supposed to leave their homes, as
230 their shacks would be destroyed. On the eviction day, CHISAM officers arrived accompanied by social workers
231 of the Department of Social Work, the Army, and the Military Police (PM) (Gonçalves, 2010;Brum, 2012). The
232 massive police presence thus prevented any possible resistance from the residents. Then the public agents occupied
233 the houses and immediately cut off the supply of electricity and water so that residents would not reoccupy their
234 homes. Finally, the Urban Cleaning Company (COMLURB) was responsible for moving the dwellers to the
235 housing projects, freeing up the land for new uses. The participation of COMLURB is very symbolic, because it
236 was an actual urban cleaning that segregated and excluded the poor from the city's most affluent areas, highly
237 coveted by real estate developers. However, this removal policy eventually caused a significant population increase
238 in favelas that were still standing. Since most of the housing projects receiving residents from Praia do Pinto and
239 other South Zone favelas were about 50 kilometers (31 miles) away from their previous dwellings, some of the
240 evicted residents would not live there. As they did not want to move away from their workplaces or where they
241 had lived almost all their lives and built their social networks, many people resold their new houses and returned
242 to favelas (Valladares, 1978) 9

243 4 c) Growing violence in favelas and the pacification policies

244 .
245 Since the 1980s, with the interruption of the implementation of the so-called removal policies that characterized
246 the 1960s and 1970s, there has been a relative decrease in State interventionism in shantytowns. During the 1980s,
247 the expansion of drug trafficking made government intervention even more complicated in these spaces, which
248 began to be identified as "places of crime and violence" 10 Therefore, in December 2008, the Rio de Janeiro State
249 Secretariat of Public Security began the implementation of the Pacifying Police Units (UPP) in some favelas,
250 which were occupied by a permanent police contingent that, according to the Secretariat, aimed to "control
251 violent crime" and "recover areas "that . Particularly in the late 1980s, the "war on drugs" policy that guided
252 government actions in favelas further increased violence in these territories. Far from offering an answer to the
253 problem, this repressive model backfired and triggered increasingly strong reactions from drug trafficking groups,
254 leading to disastrous consequences for slumd wellers (Silva, 1998;Cunha, 2004;Cunha & Mello, 2011). The result
255 of this war was an environment of insecurity and fear that eventually spread to the whole city. It was in this
256 context that the municipal government implemented the Favela-Bairro Program in 1993. This program marked
257 a change of perspective on the public policies implemented in favelas and, according to the formulators, intended
258 to provide these places with public services and infrastructure (Leitão & Delecave, 2015;. The notion of resident
259 removal was, for the first time in the history of public policies for favelas, excluded, and the program aimed to
260 reduce the social distance between the shantytown and the formal city by treating these mass housing spaces
261 as neighborhoods, even though it ended up imposing an urban model and way of life that disregarded favela
262 dwellers' experiences in these territories. However, increased violence owing to the "war on drugs" policy became
263 an obstacle to achieving the goals set by the Favela-Bairro Program (Leitão & Delecave, 2015;Cunha & Mello,
264 2012).

265 More recently, the choice of the city of Rio de Janeiro to host the 2014 FIFA World Cup and the 2016 Summer
266 Olympic Games brought forth a series of public policy proposals to prepare the city for these international sports
267 mega-events. Most projects focused on urban areas that the government considered strategic on account of
268 their economic and tourism potential. Most interventions targeted mass housing areas, particularly shantytowns.
269 In the complex negotiations between the government and the private sector aimed at securing the necessary
270 investments to implement urban renewal projects, the issue of public safety was essential. Rio de Janeiro's rate
271 of violence in recent decades, considered one of the highest in the world, made this issue a priority. Security thus
272 became the prerequisite for the transformation and restoration of the urban areas concerned.

273 were impoverished and dominated by drug traffickers 11 The Santa Marta favela was chosen for the
274 implementation of the UPP pilot project . The UPP implementation was immediately praised by the media,
275 which rushed to boast the first results of pacification. Media outlets drew considerable attention to the supposed
276 safe and calm mood that had settled over the pacified favelas (Cunha & Mello, 2011). In practice, however,
277 this public policy and the overt police presence were quickly questioned by residents and local observers, as
278 this law enforcement institution resorted, once again, to violence and abuse of authority. Overall, the persistent
279 lack of respect and consideration for favela dwellers was the most criticized issue. Unwarranted home invasions
280 became a fully established practice, and residents continued to be treated as potential "thugs". Black youth
281 suffered even more with social discrimination, which was further intensified by this public policy (Cunha, 2004).
282 UPP's violent practices did not provoke any reaction from the authorities and were, in fact, another layer of
283 police corruption, already practiced through extortion and selling of protection to drug dealers in the form of
284 political merchandise ??Misse, 1997). All these actions reinforced the feeling of mistrust and fear that favela
285 dwellers have always felt towards the initiatives of the military police in these territories (Silva, 1998;Oliveira
286 & Carvalho, 1993;Cunha, 2004). 12 . This favela is located on a hill between the neighborhoods of Botafogo
287 and Laranjeiras, at the heart of Rio's South Zone 13 . Before the UPP implementation process, the Battalion
288 of Special Operations (BOPE) 14 11 For more information, go to occupied the favela. This strategy surprised
289 even the residents, who, on November 20, 2008, came across a massive police presence for no reason. At first,
290 they thought it was one of the regular PM actions in the favela, even though they were astonished at the large

6 CONCLUSION

291 number of police officers there. Police presence intensified, and the population finally realized that the police
292 was settling permanently in the favela. Thus, on December 19, 2008, a month after the BOPE arrived, the
293 first UPP in the city was inaugurated under the command of then Captain Priscila Azevedo. It is worthy to
294 note that Captain <http://www.isp.rj.gov.br/Conteudo.asp?ident=62>. 12 Between 2008 and 2014, UPPs were
295 installed in favelas such as Cidade de Deus, Batam, Chapéu Mangueira/Babilônia, Pavão-Pavãozinho, Cantagalo,
296 Tabajaras, Cabritos, Providência, Borel, Formiga, Andaraí, Turano, Macacos, among others. A total of 38 UPPs
297 were installed by 2014. 13 According to data from the Secretariat of Public Security, Santa Marta housed at the
298 time 6,000 residents, distributed in a 54,692 m² (approximately 588,700 ft²) area. For updated data on UPP
299 favelas from the Secretariat of Public Security, go to <http://www.ispdados.rj.gov.br/UPP.html>. However, it is
300 noteworthy that there are discrepancies in the data on favela populations and areas published by different public
301 agencies. 14 The BOPE directly reports to the Special Operations Command of the Military Police of Rio de
302 Janeiro State (PMERJ), which operates in Rio's favelas.

303 Azevedo attended the International Olympic Committee (IOC) meeting in Copenhagen in 2009, when Rio de
304 Janeiro was chosen to host the 2016 Summer Olympics, as a way of ensuring the "pacification" of the city (Cunha
305 & Mello, 2011).

306 Since the installation of the UPP, the Santa Marta favela has become a model and laboratory for public safety
307 policies. These were accompanied by several actions to gradually replace informal practices of access to public
308 services, which were then regularized, allowing Light 15 At the beginning of the regularization of public services
309 in the favelas, a social rate was introduced to allow a reduction in the electricity bill for residents who had
310 a Social Registration Number (NIS), as was the case of beneficiaries of the Bolsa Família Program. However,
311 from 2011 on, all rates were standardized, and favela dwellers had to pay the same rates formal neighborhoods
312 paid (Loretti & Cunha, 2015;Pilo, 2015). Finally, after the favela had been mapped out, Light put up street
313 nameplates and numbered the houses, providing residents with a city address for the first time. However, the
314 electricity bill issued by the company was the only mail residents received directly at their homes (Cunha &
315 Mello, 2011). In addition to that, the control of housing maintenance works, which were now required to comply
316 with regulations, caused building costs to increase considerably, making them often inaccessible to the favela
317 dwellers. It also killed the so-called roof culture, Rio's light and power company, to regain control of 90% of
318 the electricity supply in the favela. This significantly affected the illegal "hotwire" practice that characterize
319 favelas, as residents could no longer "hot-wire" the power supply to share their consumption or to avoid paying
320 at all for the service. This procedure, widespread in Rio's favelas, can be considered as a form of infrapolitical
321 resistance ??Scott, 2009). According to James ??cott (2009), this notion refers to practices that are not publicly
322 announced, as law enforcement would repress them, so they are quietly suggested as a way to face and resist the
323 precarious, neglected situation to which favela dwellers have always been subjected. 16 Light settled in Rio
324 de Janeiro in 1904 under the name of Rio de Janeiro Tramway, Light and Power Co. 16 Roof culture is the habit
325 of using the roof of one's home as a social space. As favela houses are usually small, the roof is a significant
326 social space where many activities take place, such as parties, sunbathing, washing and drying clothes, etc. For
327 more information, see Corrêa, 2012. , as it was no longer possible to build home extensions according to the
328 needs of the family, an essential strategy for the social reproduction of family groups in mass housing areas. This
329 attempt to control more systematically these informal strategies to access public services and housing has led to
330 numerous conflicts between favela dwellers and the government (Corrêa, 2012;Cunha & Mello, 2012). According
331 to the Volume XX Issue I Version I 16 (H)

332 residents, it has resulted in a significant increase in the cost of living in the favelas. Although they acknowledged
333 that it was important to pay for urban services, they argued that the criteria for defining the rates were unclear
334 and, above all, unfair. They maintained that slum dwellers, who lived in areas that still lacked basic services,
335 infrastructure, and had open sewage and poorly lit streets, could not pay the same rate applied in the wealthiest
336 neighborhoods of the city because the service quality was inconsistent (Loretti & Cunha, 2015). Also, the creation
337 of the UPP caused land and real estate prices to increase 400% in the favela and its vicinity, both for rent and
338 purchase or sale. Finally, the residents mentioned a kind of white expulsion, due to the rising cost of living and
339 real estate speculation (Cunha & Mello, 2011;Sisternas & Cunha, 2018).

340 5 III.

341 6 Conclusion

342 While the urban renewal policies implemented in Rio de Janeiro, particularly the so-called favela removal or
343 eradication policies of the 1960s and 1970s, left deep marks on the city's collective memory, having evicted a
344 high number of favela dwellers, the results of the pacification policies and urban renewal projects implemented to
345 prepare the city for the 2014 World Cup and the 2016 Summer Olympics are even more dramatic 17 Retrospective
346 analysis of these policies shows that the logic behind these forms of expulsion changed over time. Initially, they
347 were guided by hygienist ideology and aimed to control the central space of the city, removing the working classes
348 and freeing up land for the promotion of the real estate market. These measures were meant primarily to clean the
349 city's bad . Whereas 20,000 people were displaced during the Pereira Passosad ministration and 30,000 during
350 the Carlos Lacerdaad ministration, both of whom went down in history as mayors who had razed the city, the
351 forced removal policies implemented by Mayor Eduardo Paes expelled 67,000 people; in most cases, they were

352 relocated to places approximately 70 km (43.5 miles) away from their former dwellings (Faulhaber & Azevedo,
353 2016). Thus, throughout the urban history of Rio de Janeiro, we can say that the process of production of space
354 through public policy implementation in favelas has always resulted in the expulsion of the most vulnerable social
355 groups from the political city. These policies could have been an opportunity for the government to address Rio's
356 urban inequalities; however, significant differences as to who has the right to the city (Lefebvre, 1968) persist and
357 still characterize Rio de Janeiro. reputation as a dirty port or port of death and address the regular yellow fever
358 epidemics that plagued Rio and destabilized its economy, hindering the development project of the government's
359 elites. (Chalhoub, 1996, Cunha, 2005). Then, public policies addressed the claim that there was a need to
360 reorganize the city based on functional criteria and stratification of urban space -a new concept of urbanism and
361 urban planning. This new concept, influenced by the establishment of the real estate market, led to a hierarchical
362 space division that further contributed to capital accumulation. Finally, when the government came to see favelas
363 as the main threat to public safety and the city's image, pacification policies made it possible -in the context of
364 cities as commodities -to implement new urban restructuring projects through the appreciation of urban land as
365 a financial asset ??Halbert, 2013, p.1). In this sense, this policy further improved the conditions that allowed
366 the market to re-appropriate favela areas.

367 These different kinds of intervention implemented in Rio de Janeiro throughout its urban history fall into three
368 ideal types, which correspond to three public policy models connected to different forms of favela representation
369 and social classification: the hygienist model, which becomes a modernizing model and at last a public security
370 model. We can say that different logics produced these models. If, when capital's appropriation of Rio's urban
371 space first began, hygienist and modernizing policies aimed to stimulate the real estate market, which expanded
372 significantly based on a new concept of urbanism and urban planning, then the pacification policies may be
373 considered a consequence and escalation of this process of commodification of the city. It is an important
374 distinction because the change was not just quantitative. In a scenario of financialization of the global economy,
375 the expulsions of the most impoverished populations have not only increased considerably but also become much
376 more intricate due to the financialization logic that promotes public-private partnerships in urban interventions
377 and real estate speculation. It is worthy to underline that access to urban land and choice of place of residence
378 remain fundamental issues for the poor populations of large metropolises in Brazil and Latin America. These
379 people have always been segregated and forced to live in precarious spaces, and access to urban territory was
380 only possible through the purchase of land on the outskirts of the city or through occupation, as was the case of
381 the Rio de Janeiro favelas. Yet, in today's global economy, what is at stake is a new form of urban dispossession,
382 as land value has become a key element in the process of financialization of cities (Rolnik, 2015;Sassen, 2016).

383 This way, we see that past and present public policies implemented in Rio's favelas are part of a logic of
384 expulsion of the poor from a land that had an increase in value owing to the joint action of the State and the
385 capital. These effects are becoming increasingly violent as public policies are now subject to financial profitability.
386 Thus, we agree with Saskia Sassen (2016) that there is a systemic underlying tendency that makes the global
387 economy confront us with a new logic of urban inequality production and with even more difficult, intense and
388 aggressive ways of governing destitute populations. This new logic would be consistent with a new cycle of the
389 global economy in terms of capital accumulation, including the process of financialization of cities. And, despite

6 CONCLUSION

390 the resistance of some favela dwellers still struggling to stay in the most valued and sought-after areas of the city,
391 the threat of expulsion remains a specter that perpetually haunts them. ^{1 2 3 4 5 6 7 8}

¹The end of slavery in 1888 brought about the proliferation of not only slums but also tenements. According to official figures, the population living in tenements in 1869 amounted to 21,929 people and in 1888 to 46,680 people (Lobo & Stanley, 1989; Gonçalves 2010).² Aiming to integrate urban reform and health reform, Pereira Passos invited bacteriologist and epidemiologist Oswaldo Cruz, who had a degree from the Pasteur Institute in Paris, to join him.³ Georges-Eugène Haussmann, chosen by Napoleon III as prefect of the Seine in 1853, was responsible for the large urban renovation that "modernized" Paris; his public works became a historical reference point for urban planning., vigorously focused his actions on the destruction of tenements, starting a campaign called the tear-down with the aim to "sanitize" and "civilize" the city, eradicating this type of housing and everything it

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³Journalist Carlos Lacerda launched this campaign with a series of articles about Rio's favelas published in 1948 by the *Correio da Manhã* newspaper. For more information, see Silva, M.L. (2005).⁷ In 1960, the city of Rio de Janeiro underwent significant institutional changes after the transfer of the capital to Brasília. And in 1964, Brazil suffered a military coup that established a dictatorship for 20 years.

⁴In 1974, with the merge of the State of Guanabara into the State of Rio de Janeiro, FAFEG became FAFERJ.

⁵The policy offered the chance to purchase a housing unit, not social rent.

⁶In the late 1970s, Comando Vermelho (Red Command), Rio de Janeiro's first large drug gang, was formed. During the 1990s, it split into two dissident factions: Amigos dos Amigos (Friends of Friends) and Terceiro Comando (Third Command). These factions fought each other for the control of drug trade in Rio, further increasing favela violence (Souza, 1996).

⁷(H)

⁸In addition to the favela pacification policies, the urban interventions that the government implemented to transform Rio into an Olympic city focused on renovating the port area, installing and renovating sports facilities, and providing urban mobility with the building of the Bus Rapid Transit (BRT) lines (Faulhaber & Azevedo, 2016).

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