

1 Legal Aspects of Accession of EU to ECHR

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5

6 **Abstract**

7 The European Union (EU) became the guardian of the rights of Europeans. From a
8 treaty-based entity the European Union became a supranational system based on democracy
9 and where the treaties and the EU human rights principle operates as constitutional law.
10 Moreover, the law of the European Union it is without doubt a form of European Public Law
11 based on a system of administrative and increasingly constitutional law including its own
12 Charter of Human Rights. Into the European constitutional framework, from the second half
13 of the last century, the political and judicial institutions of Europe have committed in creating
14 a European constitutional order in which prevails the protection of human rights. The
15 fundamental values belong to the European constitutional heritage, to Europe without
16 borders and without double standards of protection. The rights declared in the constitutions
17 must found concrete tools to render them effective. To ensure the effectiveness of the
18 protection of human rights on our continent, the European Union's adherence to the
19 European Convention of Human Rights (ECHR) is considered to be the ideal tool in the
20 absence of a legal and formal link between the systems of Strasbourg and Luxembourg. With
21 the Treaty of Lisbon, the expected adherence of the EU to the ECHR was, in fact, hailed as
22 "a courageous political, cultural and legal decision."

23

24 **Index terms**— european union, european public law, human rights, EU's accession to ECHR.
25 March 25, 1957)

26 establishing the European Communities, originally contained no catalog of fundamental rights to safeguard
27 against potential abuse by Community institutions and was not even mentioned the need to ensure the protection
28 of those rights. There are only some exceptions regarding the individual freedoms (of movement -Article 39
29 EC Treaty; of establishment -Article 43 EC Treaty; of providing services -Article 49 EC Treaty) necessary to
30 realization of the common market. Therefore, the Community law arose as a «supranational order, without
31 general purposes and, even more, without the task of protecting the fundamental rights related to the recognition
32 of a status civitatis » 1.

33 During the years, the protection of the human rights promoted by the Court of Justice has had a positive
34 echo in the European institutional scene, encouraging the progressive codification. The European theory of the
35 human rights, prepared by the Community Court seems to have contributed to the formation of a true European
36 constitutional law. On the one hand, the creation of the European constitutional space was made by the courts,
37 by other, its gradual consolidation occurs through multiple attempts of codification of jurisprudential *acquis* into
38 a European Bill of Rights.

39 The first textual reference to fundamental rights is found in the "Spinelli Project", i.e. the draft of the Treaty
40 on European Union approved by the European Parliament on February, 14, 1984 but failed. Only in 1987
41 the Single European Act, inspired by the new orientation of the propositional case law on the subject, as well
42 as the above-mentioned project, enrolled for the first time the fundamental rights in its Preamble: «Member
43 States determined to work together to promote democracy on the basis of the fundamental rights recognized in
44 the constitutions and laws of the Member States, in the Convention for the Protection of Human Rights and

45 Fundamental Freedoms and the European Social Charter, notably freedom, equality and social justice» 2 . To
46 these statements, with no legal effect, did not follow any codification of the human rights.

47 The first textual basis binding to the Court of Justice in the field of fundamental rights has had with the
48 Treaty of Maastricht 3 signed on 7 February 1992: the Article F of the Treaty (now Article 6.1) states that
49 «the Union shall respect fundamental rights, as the provisions of the Article F seems to open the possibility of
50 a joint relationship between the two sources of "production" of the human rights, the ECHR and the common
51 constitutional traditions, contradicting the hypothesis, advanced by the doctrine, of a kind of hierarchy in favor
52 of ECHR.

53 Moreover, the new dimension for the Protection of Fundamental Rights, formally inaugurated by the Treaty
54 of Maastricht, has found a further strength in the European citizenship provided for by Article 8 of the Treaty,
55 passing from "Europe of markets" to "Europe of citizens", giving to the European citizens a new legal status, in
56 additional to their national citizenship. As is known, the moment of writing of fundamental rights in a special
57 act will take place only with the Nice Charter proclaimed for the first time in December 2000.

58 The need to introduce in the framework of European legal order a catalog of fundamental rights has been the
59 basis of intense debate in the history of the European Union, whose axis is biased by two conflicting positions:
60 the first, favorable to the hypothesis of accession by the Community and the Union to the European Convention
61 on Human Rights and Fundamental Freedoms guaranteed by the Strasbourg Court, the second, inclined to the
62 development of a Charter of Fundamental Rights of European Union. The first hypothesis, long supported by the
63 Commission, has been 'frozen' in the early 1990s, following the well-known Opinion 2/94 4 made by the Court
64 of Justice in April 1994 on the incompatibility of accession of the European Community to the ECHR with the
65 Treaty establishing the European Community (TEC). So, the decision to proceed with the drafting of a Charter
66 of Fundamental Rights has been at the European Council in Cologne on 3-4 June 1999, when the Europe heads
67 of state and government deliberated to strengthen the protection of fundamental rights in the European Union
68 in an appropriate Charter and to delegate the task of drafting of this project to a "Convention".

69 From a formal point of view, the Charter of Nice appear to fall into the ranks of acts which have no legal effect
70 required. In fact, it looks like a joint Declaration or as an inter-institutional agreement between the European
71 Parliament, Commission and Council, proclaimed in Nice on 7 December 2000. The conclusions of the Nice
72 European Council states that "in accordance with the conclusions of Cologne, the question of the scope of the
73 Charter will be examined at a later time." In addition, the Declaration n. 23 on the future of Union, annexed
74 to the Final Act of the Treaty of Nice, refers to the Conference on the revision of the Treaties planned for 2002
75 the definition of the status quo of the Charter of Fundamental Rights. Finally, to support the arguments of the
76 non-binding nature of the Charter contributes its publication in the C series of the Official Journal dedicated
77 to non-binding acts. 5 The Charter has also been defined as an act of "constitutional substance", an expression
78 of a constituent power capable of producing legal rules. That view has been strongly criticized on the base of
79 the fact that the European Union, and therefore the power of its institutions, derive from the founding Treaties
80 in accordance with the principle of conferred powers which establish that "the Community shall act within the
81 limits of the powers conferred and of the objectives assigned to it by this Treaty" 6 .

82 The Charter is not a legal strictu sensu source, it seems to have a political value susceptible to "provoke
83 important legal consequences" both to the internal Member State order both to the European order.

84 As regards to the Member States, the Charter has a dual emphasis: on the one hand, as parameter of judgment
85 in case of a serious and persistent breach of one or more principles stated in Article 6.1; on the other hand, as
86 a contribution to the national courts to identify the category of fundamental rights protected in the European
87 system 7 .

88 With regard to the European Union, the Charter can operate, first, as an assessment parameter for the purpose
89 of admission of new members which, as required by the Article 49 TEU, have to respect the principles stated in
90 Article 6 paragraph 1 of TUE, including the fundamental rights and freedoms. The Charter is a certain point
91 of reference for the States recently democratized to compare the compliance of their systems to the European
92 standards of protection of the human rights. Furthermore, the Charter can be a sort of "compass" that can guide
93 the choices of the European institutions, on which rests a duty of coherency with the rights contained in the
94 Charter.

95 The references to the Charter are also important instruments adopted under the third pillar (Title I TUE)
96 relating to matters in which the human rights has a considerable importance: it is enough to evoke the Decisions
97 of 13 June 2002 no. 2002/475/JHA 8 on combating terrorism and no. 2002/584/JHA 9 on the arrest warrant
98 and the surrender procedures between Member States, the decision on the establishment of Eurojust taken by
99 the Council, under Article 34 par. 2 TEU on a proposal from the Federal Republic of Germany, Portugal, France,
100 Sweden and Belgium.

101 Also in the framework of European legal order, also the Community judge gave a contribution to the
102 enhancement of the Nice Charter despite of its no binding legal, as noted by the doctrine, the case law has
103 shown that «the Charter is included in the "movement" of

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106 Year the law as a tool of explanation, identification and specification of a set of rights, already part of the
107 *acquis communautaire*» 10 .

108 The Charter is a form of codification of fundamental rights, a atypical source or an act which, though devoid
109 of normative value in the strict sense, being "jurisdictionable" is not without legal force but acts as support of
110 custom source and jurisprudence.

111 Despite the contribution of the Charter at the consolidation of a European common Jus, should not be forgotten
112 that determined a number of vulnerable issues as its relationship with the European Convention of Human Rights
113 (ECHR).

114 b) Nice Charter and the ECHR Following the proclamation of the Charter of Nice, there has been a progressive
115 polarization of the "galaxy of European rights" around two very different systems, the ECHR system and the
116 system of European Union. The problem of coordination between the Charter and the Convention is generated
117 by the fact that the two documents contain a different mechanism for limiting the human rights, one, based on
118 the principle of the general clause, the other, on restrictive clauses ad hoc.

119 The Charter, to Article 52 first paragraph contains a restrictive clause "that allows restrictions to fundamental
120 rights and freedoms recognized on condition (inter alia) that effectively respond to objectives of general interest"
121 . The general restrictive clause of the first paragraph of Article 52 of the Charter of Nice is extended to rights
122 guaranteed also by the Community Treaties, according to Article 52 second paragraph, and by the European
123 Convention on Human Rights and Fundamental Freedoms (ex Article 52 the third paragraph). The Article 52
124 second paragraph seems to establish a hierarchical relationship between the limits laid down in the Treaties and
125 the general restrictive clause provided in the Charter: rights which are based on treaties escape and are subject
126 only to the conditions and limits laid down by the Treaties themselves.

127 As regards to the relationship between the Charter and the Convention, the third paragraph of Article 52
128 generates a dual problematic issue: first, to coordinate the relationship between general restrictive clause and
129 those contained in the ad hoc European Convention on Human Rights: the Charter seems to solve this problem
130 for the benefit of the ECHR, which is configured by the authors of the Charter as a minimum standard of
131 protection. The third paragraph of Article 52 also raises another complex issue which represents the central
132 problem of the relationship between the two systems of guarantee of rights, namely the relationship between the
133 Strasbourg Court and the Court of Luxembourg.

134 What happens in case of differences in interpretation between the two courts? The framers of the Charter have
135 attempted to introduce measures to prevent any conflicts of jurisprudence. First, in the Preamble of the Charter
136 an explicit reference refers to the rights recognized by the Court of Justice of the European Communities and
137 the European Court on Human Rights, without, envisage a special solution in case of jurisprudential conflict.
138 In addition, the second paragraph of Article 53 of the Charter requires recourse to an interpretation of the
139 fundamental rights protected by the Charter which does not affect the significance of the rights guaranteed
140 by national constitutions of member states and other international instruments, in particular the European
141 Convention on Human Rights: «nothing in this Charter shall be interpreted as restricting or adversely affecting
142 human rights and fundamental freedoms as recognized, in their respective fields of application [...] by international
143 agreements such as the Union, the Community or all the Member States are party, including the European
144 Convention for the Protection of Human rights and Fundamental Freedoms, and by the constitutions of the
145 Member States.» In terms of the Article 53 the way to choose in case of conflict has an interpretative nature.
146 This solution was considered by the Council of Europe as a compromise of temporary character in view of a more
147 radical response to the problem of divergences in judicial decisions between the two courts, established by the
148 accession of European Union to the Convention of Rome.

149 It is clear that the glowing core of relations between the European system of protection of fundamental rights
150 and the ECHR system involves issues related to interaction between the Luxembourg Court and the Strasbourg
151 Court -two judges who, though very different from each other, found a common battlefield in area of human
152 rights, capable of triggering a "war between the two Courts" 13 .

153 2 II.

154 Coexistence of the Two European Courts and Their Cooperation The protection of fundamental rights within
155 the EU has established not only as a goal but rather as a tool controlled by a dual cause: on the one hand, it has
156 been introduced to confirm the integration and thus facilitate and ensure the future development, from the other,
157 it has been a fortification of effectiveness and primacy of EU law against the claims of national constitutional
158 courts, fearful of the breach by Union measures of fundamental rights provided in their laws. 14 The relationship
159 between the EU system and the conventional system of protection would seem to constitute a relationship of
160 species a genus: the first mechanism based on a self-contained and confined to the exclusive competence of the
161 Community, and the second focused on a wider and subsidiary mechanism of protection measures laid down by
162 Member States. Therefore, two parallel systems, no communicative, no legal ties, whose the only trait d'union
163 was the same legal source of rights, namely the ECHR.

164 The independent case-law of the Luxembourg Court found its justification in the peculiarities of the system of
165 protection of the human rights in the EU and in the absence of a formal legal relationship between the two legal
166 systems: the protection of human rights must be guaranteed in the context of the structure and objectives of the
167 Union; in addition, in the absence of a common catalog of fundamental rights, the Court has used heteronomous

168 tools (i.e. "the constitutional traditions common to the Member States and the international treaties for the
169 protection of the human rights, which the Member States have signed or had cooperated) to identify specifically
170 the object of its protection, within which the ECHR has taken a particular significance.

171 The first sign of deference by the Judge of the fundamental rights vis-à-vis the Community Judge seems to
172 rise in the case *Marckx v. Belgium* in 1979 15 , in which the Strasbourg Court has joined *sic et semplciter* the
173 doctrine of prospective overruling as well as interpreted and applied by the Luxembourg Court in *Defrenne* case
174 17 .

175 Emblematic of a "peaceful coexistence" of the two supranational Judges, the attempts of more explicit
176 convergence of the European Court on the positions of the Community Court will have only the end of the
177 nineties and are manifested in two forms: in some cases the Court has made cross-references to case law of
178 his counterpart *ad adiuvandum*, in others, it has changed its orientation preferring to Luxembourg. Of greater
179 importance for the purposes of the present work seems to be the second attitude of the Strasbourg Court, which
180 in at least two cases has made a cross-reference to the Court of Justice for correct, partially, its case-law. 18
181 For at least twenty years (1970-1990), because of the great differences that exist between the new Community
182 system of protection of fundamental rights and the existing conventional system, the relationship between the
183 Luxembourg Court and the Strasbourg Court were far from cordial. In particular, in the absence of a formal
184 link between the two mechanisms of guarantee, the effectiveness of the system of protection of the human
185 rights in Europe has been undermined by differing interpretations. In the case *Dorca Marina* 19 , the Court of
186 Justice has given a restrictive reading of these rights, limited the efficiency solely to proceedings before courts
187 or tribunals and excluding, therefore, the applicability to the case submitted to it for a preliminary decision
188 regarding an administrative penalty imposed by the Community Commission, a non-judicial body. The position
189 taken by the Court of Justice was in accordance not only with the conventional provisions, but also with previous
190 decisions of the European Court. Also in cases *Orkem v. Commission* 20 raised before the Court of Justice,
191 and *Funke v. France* 21 , decided by the European Court, it complained about the breach of the right against
192 self-incrimination, accessory guarantee included among those arising from Article 6 of the ECHR regarding due
193 process. The Luxembourg Court has ruled that the right not to incriminate oneself could be inferred from the
194 provisions of Article 6 and the case law of the Strasbourg Court.

195 At the end of the eighties, another contrast between the jurisprudence of Luxembourg Court and Strasbourg
196 Court took place with reference to Article 8 of the European Convention concerning the right to respect for
197 private and family life 22 . But three years after this sentence, the Strasbourg Court has denied this approach,
198 stating in the case *Niemietz v. Germany* 23 , that "the scope of the concepts of privacy and residence referred to
199 in that provision also covers certain conventional local or professional and commercial activities". b) Through a
200 homogeneous system in the case law of the two courts Formulated for the first time by the Parliamentary Assembly
201 in 1981 24 , the proposed accession was re-launched by the European Parliament at beginning of the nineties
202 in three resolutions adopted at December, 15, 1993 25 , January, 18 1994 26 and April, 26, 1995 27 . Further,
203 in particular the Parliament insisted that the absence of a formal legal connection between the two systems of
204 protection caused gaps in the Community framework, because of the removal of the organs of the European
205 Community to the mechanism of conventional control, and amplified the risk of interpretive divergences.

206 On 19 April 1994 the Council of the European Union has asked the Court of Justice for an opinion on the
207 compatibility of the proposed accession to the EC Treaty 28 . Specifically, the Court was asked to answer three
208 questions: whether the request for an opinion in

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211 the absence of a negotiating text of accession agreement was admissible, if the Community had the competence
212 to conclude such an agreement, if the contents of this kind of agreement was compatible with the EC Treaty.
213 On the first question, the Court ruled positively accepting the admissibility of the case on the basis of two
214 main arguments: the preventive function of Article 228 TEC as a deterrent to the occurrence of difficulties
215 during the negotiation of a treaty and the widespread knowledge of the text the Convention to which the Union
216 should join. Upheld the admissibility, the Court focused its opinion on the question of jurisdiction, intentionally
217 omitting to assess the compatibility of accession agreement with the EU Treaty. The Court has developed its
218 own decisionmaking process by examining the principle of conferral, as codified in the Maastricht Treaty, but
219 admitting that the conferral for the conclusion of international agreements could be implied. To this aim, it has
220 examined the possibility of using the so-called principle of parallelism of the competences and the Article 235
221 TEC.

222 The Court found that the accession would result in a "changement substantiel" (substantial change) of current
223 Community system for the protection of the human rights as entered the Community in a distinct international
224 institutional system and integrated. Such a change in the system of protection of fundamental rights to the
225 Community would have a "envergure constitutionnelle" (constitutional feature) and, therefore, exceeded the
226 limits of Article 235 TEC. Only an amendment to the Treaties through the ordinary revision procedure could
227 allow the membership 29 . For over thirty years, the European Commission of Human Rights has absolutely
228 excluded from its sphere of competence the analysis of prejudicial Community acts to the human rights protected

229 by ECHR, creating a real "free zone" of protection of fundamental rights. It was a self restraint due to the lack
230 of Community accession to the Convention.

231 Aware of the damage that had been created in the European system of protection of the human rights, the
232 Commission, since the nineties, has inaugurated a timid shift in perspective expressed in various ways by the
233 judgment M&Co 30 , the Cantons 31 and the Matthews 32 judgments.

234 After the adoption of the controversial Opinion 2/94, the Court of Justice, influenced probably by the situation,
235 issued three significant decisions heralding a rampant valorization of the ECHR and the Court at EU level.

236 The Court of Luxembourg made the first call to the jurisprudence of the European Court in its judgment P
237 v. S in 1996 33 . The Court decided in the case informing the definition of transsexual made by the Judge of
238 Strasbourg in the case of Rees v. United Kingdom in 1986 34 . Later, in the judgment Familiapress of 1997
239 35 , the Court of Justice has used a precedent of Strasbourg as a parameter of a delicate balance between two
240 opposing corollaries of freedom of the press stated in the Article 10 ECHR. Again, in judgment Baustahlgewebe
241 of 1998 36 , the Judge of Luxembourg has solved the case in question through the prism of the jurisprudence of
242 the Strasbourg Court on reasonable length of processes, guarantee provided by Article 6 ECHR.

243 In addition to the above-mentioned judgments in which the Court has made a «emprunt» the ECHR and the
244 jurisprudence of his court, worthy of mention are some more recent decisions in which the court of Luxembourg
245 has made a real «revirement» respect to positions taken in the past and antithetical to those adopted by his
246 counterpart in Strasbourg 37 .

247 However, there is no denying the evolution of case law on the fundamental rights facilitated by a simultaneous
248 and progressive convergence of the Strasbourg jurisprudence on the positions taken by the counterpart of
249 Luxembourg. ??8 The progressive evolution of the relationship between the Luxembourg Court and the
250 Strasbourg Court has led to an inevitable intertwining of the conventional and the EU system of protection
251 of the human rights. The first confirmation of the "crossfertilization" 39 between the two legal systems in
252 question, there was with the judgment Hornsby in 1997 ??0 Another example of «intégration douce» between
253 the ECHR system and the EU system of protection of the human rights by the "cross-fertilization" promoted by
254 both Courts in regard to the recognition of English transsexuals to marry. In the case Goodwin43, the European
255 Court has decided to sentence for the first time the UK for injury of Article 12 ECHR on the right to marry and
256 found a family, providing a new interpretation of the provision in question, mainly inspired by the Community
257 Court of Justice and the Charter of Nice. After a little less than two years, the Luxembourg Court in the case K.
258 B 44 . has, for the first time, to scrutinize the merits of the British national legislation on marriage, considering
259 the prohibition of discrimination laid down in the Article 141 TEC not only to the direct enjoyment of the rights
260 guaranteed by the Treaty, but also to their assumptions. In order to corroborate its position, the Court made
261 an express reference to the judgment Goodwin of the European Court: "the European Court of Human Rights
262 has held that it is impossible for a transsexual to marry a person of the sex to which he or she belonged prior to
263 gender reassignment surgery, which arises because, for the purposes of the registers(D D D D) A Year 2012
264 of civil status, they belong to the same sex, was a breach of their right to marry under Article 12 of the
265 ECHR".

266 The relationship between the Strasbourg Court and its counterpart in Luxembourg would like to evolve through
267 the prism of cooperation rather than in terms of dominance and power. Through the judgment Bosphorus 45 ,
268 the Strasbourg Court, external actor, has self-invited in the legal system of the European Union. The Court of
269 Human Rights has managed the operation to bring the European Union exactly where wanted it to be: that is
270 bound to the ECHR. The relationship between the two European judges responds to a strictly hierarchical and
271 competitive advantage of the Strasbourg Court.

272 The judgment Bosphorus could be seen as a kind of "technical proof" of the European Union's accession to the
273 ECHR, without which the "development of relations between the two largest judicial protection of fundamental
274 rights in Europe risks to be imperfect, although circular, as evidenced by two sentences respectively of the Court
275 of Justice and the European Court: the Kadi and Al Barakaat Foundation of September 3, 2008 ??6 and the
276 judgment GC Demier and Baykara v. Turkey of ??ovember 12, 2008 47 . A parallel examination of this judgments
277 highlighted the mutual permeability of EU and conventional systems with respect to their values which, because
278 of the osmosis process, seem to lose their original marking, becoming true European values of constitutional
279 order. Although the "judicial policy" of the two courts seem to have had the positive effect of facilitating
280 «douce intégration» between the respective legal systems to protect the human rights and to contribute to the
281 construction of a European inter-constitutional law, it would be basic and simplistic to interpret the relationship
282 between European jurisdictions like a «harmonie euphorique». There is still a "congenital separation" between
283 the two courts, and in the absence of a formal and legally binding mechanism of coordination between them,
284 relations between Luxembourg and Strasbourg seem destined to evolve along the lines of a sterile circularity of
285 mutual and suspicious respect.

286 4 III. Effectiveness of Fundamental Rights Under the Lisbon 287 Treaty

288 The EU accession to the ECHR is a decisive turning point in the EU law. Human rights had to accomplish a long
289 path before become an essential element of community development, as demonstrated by the history of the last

290 decade and, above all, by the Charter of Fundamental Rights of the European Union (2000), which had acquired
291 binding value with the entry into force of the Lisbon Treaty (2009).

292 On 1 st June 2010 entered into force the Protocol n.14 of the ECHR. Thanks to the new legal framework
293 established by it, according to the amendment of Article 59, the access of European Union to the Convention, as
294 established by Article 6 of the Treaty of Lisbon, will become possible. On 7 July 2010, therefore, began formal
295 discussions aimed to membership of European Union to the ECHR. At the end of this process, the accession
296 agreement will be signed by the Committee of Ministers of the Council of Europe and by the Council of the
297 EU with the consent of the European Parliament. Once signed, the agreement must be ratified by all 47 parties
298 to the Convention, including those that are also EU member states. It is a long path considering that today,
299 at the end of 2012 and three years after the entry into force of the Lisbon Treaty and instead of the draft of
300 legal instrument aimed to finalize the membership already prepared at the end of 2011, Article 6 still remains
301 unrealized.

302 The EU's accession to the ECHR is necessary to ensure consistency between the case law of the two courts (the
303 Court of Justice of the EU in Luxembourg and the European Court of Human Rights in Strasbourg), to submit
304 the European norms to the same judgments in the same human rights standards of the 27 member countries,
305 and to create a "common European space for human rights" ??8 .

306 Becoming the 48th signatory of the Convention, the Union will be heard in the cases examined by the European
307 Court of Human Rights in Strasbourg, appointing a judge and giving to every European citizen, once carried
308 out all the domestic remedies, a new possibility of appeal to this Court in cases of alleged breach of fundamental
309 rights by the EU institutions.

310 As known, the question of accession of the European Union to the ECHR is a «véritable arlésienne 49 of EU
311 law, a goal that European scholars have attempted to pursue since the late 1970s of the last century.

312 In March 1996, the veto of the Court of Justice of the European Communities to conclude a Treaty regarding
313 the "Accession of Union to the ECHR" slows down the achievement of that goal, but wasn't let to fall into
314 oblivion. A fundamental step to strengthen the protection of the rights of citizens inside the Union and give
315 "constitutional dignity" to the process of European integration, was arrived with the Charter of Nice. Then
316 with the Laeken Convention in 2001 was given "the opportunity to incorporate the Charter of Fundamental
317 Rights in the basic treaty and to put the question of the "European Community's accession to the European
318 Convention on Human Rights". After long debates was deemed the need not to consider the Nice Charter and
319 the European Convention on Human Rights as alternatives, but as complementary tools which presume a mutual
320 reinforcement in the protection of human rights. The Charter was constitutionalized, becoming Part II of the
321 Treaty establishing a Constitution for Europe and Article I-9 established the legal basis that would allow the
322 Union to accede to the Convention.

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325 Year » Apparently failed under the blows of the French and Dutch referenda, the constitutional process has,
326 however, produced significant effects largely inherited from the Treaty of Lisbon. In terms of protection of
327 fundamental rights, there are three most important news, covered by the Constitution and "transplanted", with
328 some modifications, in the new Treaty: the attribution of legal force to the Charter of Nice, the strengthening
329 of the procedural legitimacy of individuals complementary to the extension of the powers of the Court of Justice
330 and, finally, the introduction of the necessary legal basis to allow the accession of European Union to the ECHR.

331 The Lisbon Treaty, by operating a mere reference to the Charter, led to a de-constitutionalization of it, a
332 capitio diminutio inserted in the logic of elimination of a series of constitutional symbols from the previous text,
333 starting with the nomen of the Treaty that should have adopted a Constitution for Europe. In the new Lisbon
334 Treaty, the Charter does not take neither the name of treaty nor protocolnames that have the same value from
335 the legal point of view.

336 From a substantial point of view, the attribution of legal force to the Charter raised three issues regarding
337 (1) the impact of the Charter on the competences of the Union, (2) the relationship between the Charter and
338 the ECHR and (3) the "variable geometry" 's application of the Charter in accordance with the provisions of the
339 British-Polish Protocol.

340 If we consider the practice of the Court of Justice, this has never prevail the reasons of the limits of competence
341 on reasons of fundamental rights 50 . Moreover, the Court of Justice aims to have the typical role of the
342 constitutional courts, and would hardly be brought to abdicate the status of "constitutional jurisdiction of
343 freedom" in favor of the exaltation of the principle of division of powers.

344 The rights recognized in the Charter which correspond to rights guaranteed by the ECHR have the same
345 meaning and effectiveness of those incorporated in the last one, especially considering the detailed provisions of
346 the ECHR which allow restrictions to these rights. The Article 52, paragraph 3 of the Charter makes it clear
347 that this Article shall not preclude a more extensive protection already achieved or that could be established by
348 the regulations of the Union or by some articles of the Charter which, although based on the ECHR, go beyond
349 as far as the EU law has already reached a higher level of protection. Furthermore, according to the Article
350 53, the protection afforded by the ECHR is configured as minimum protection, being allowed a more extensive
351 protection by the Charter of Nice. According to some scholars 51 , the reference to the ECHR and the case law

352 of the Strasbourg Court, does not appear such as to exclude any conflict in the application of the provisions of
353 the ECHR and the Charter of Rights by the Courts of Luxembourg and Strasbourg and, more generally, between
354 the EU system and that of the European Convention. Since these horizontal clauses would ensure consistency
355 between the two instruments in the case of the rights recognized in both systems, several points remain obscure
356 or at least unresolved ??2 .

357 The Protocol n. 7 on implementing the Charter of Fundamental Rights to Poland and the United Kingdom
358 has been defined as a text "totally useless from a legal point of view" 53 , the adoption of which was affected only
359 by the logic of domestic politics: the Warsaw government feared interference of the Union in its policies of moral
360 order and the United Kingdom feared an extensive application of social rights established by Title IV of the
361 Charter. From the protocol it emerged two macroscopic inconsistencies: a first aspect concerns the paradoxical
362 recognition expressis verbis of the purpose of mere "codification" of the Charter of rights already existing at the
363 level of Union. How could the United Kingdom and Poland invoke the Protocol in order to escape from Charter's
364 obligations, since the ECJ, in most cases in which the Chart could serve as a parameter for considering English
365 or Polish legislation incompatible with the European law.

366 Accession of the EU to the ECHR is a controversy stage in the European constitutional process and its
367 membership will represent a further forward step in the judicial protection of fundamental rights and a complex
368 structure of relations between the two European Courts. Accession was saw as a "political signal" of the great
369 Europe to pursue a common aim, i.e. the protection of human rights into the European constitutional space. As
370 an ad hoc instrument to ensure the effectiveness and consistency the judicial protection of fundamental rights in
371 Europe, the adherence could have also disadvantages which threat the specificity of EU law. This is due to the
372 fact that currently the protection of fundamental rights in Europe is affected by the non-coordinated interactions
373 between the systems of Strasbourg and Luxembourg, in particular the lack of a formal link between the two
374 European courts. The European Court of Human Rights may invoke the international responsibility of every
375 member of the Union that is both part of the Convention, for the breach of the Convention, even if the source
376 of the breach of law could be found in a national measure implementing the European Union legislation or in a
377 European tout court act (cases Matthews and Bosphorus). In this situation, the Strasbourg Court is unable to
378 prosecute the subject directly responsible for any breach of the ECHR, i.e. the Union. The accession of Union
379 to the ECHR will allow the representation of the Union as such is, both into the Europe Court and into the
380 Committee of Ministers of the Council of Europe, the body responsible for the monitoring of the execution by
381 the member states of judgments of the Court. In addition, the risk of conflict of loyalties between States will be
382 avoided, as being(D D D D) A Year 2012

383 Union subject to the obligations of the Convention, in case of a conflict between the two systems, the ECHR
384 norms have to be considered binding on the individual Member States and the unconventional acts of the Union
385 will determinate specific responsibilities to assert themselves by the standard tools provided by the ECHR.

386 The accession of Union to the ECHR should lead the Strasbourg Court to standardize the control measures
387 against national and European acts, ending the vicious policy of "double standards". To this should be added
388 that the accession of Union to the ECHR guarantees to individuals the access to additional appeal against the
389 acts of the European institutions which violate their fundamental rights ??4 .

390 But it could lead to both the loss of autonomy of European law, understood as independence from national and
391 international law, that the alteration in the division of powers between Member States and Union. Concerning
392 the first aspect, it was found that the autonomy of the EU law may be affected by the loss of the Court's role
393 as the exclusive judge of European law, by the loss of the monopoly of the Court in the resolution of disputes
394 between states and the submission of European institutions to the control of "third judge", non-European. As
395 a result of accession, the Luxembourg Court could lose, first, the exclusive power to rule on the validity of
396 European acts, as his counterpart in Strasbourg would be empowered to inspect such acts in terms of respect
397 for the human rights. In terms of Article 33 of the Convention, the member states can submit to the judgment
398 of the Strasbourg Court disputes arising between them, or between a State and a European institution thereby
399 undermining the role of arbiter of the exclusive European order that Articles 292, 226 and 230 TCE established
400 to the Judge of Luxembourg. Finally, one important critic of adherence is the fear that a non-European judge,
401 member of the Strasbourg Court to whose control the Union would be subject, could judge not "knowingly". The
402 possible drawback accession just now described do not appear to be fully justified or otherwise insurmountable.
403 the European Court of Human Rights as an external judge to EU law, does not have the power to void EU
404 acts or to invalidate the judgments of the Court of Justice, as well as does not have the right to cancel or set
405 aside the rules and the national judgments. The jurisdiction of the Strasbourg Court is limited to detection of
406 any breaches of the human rights by national acts, Europeans in the future, the Strasbourg Court will have to
407 examine concrete cases related legislation and acts of Union which infringe the Convention, and when will decide,
408 the Court must take into account the specific characteristics of the Union and EU law. Secondly, the risk that
409 disputes between member states or between member states and the European Union are submitted directly to
410 the knowledge of the Strasbourg Court according to the Article 33 of ECHR, bypassing the jurisdiction of the
411 Luxembourg Court, seems to be overcome by the provisions of the Protocol n. 8 attached to the Lisbon Treaty,
412 which at Article 3 establish that "no provision of the Accession Agreement shall affect Article 344 TFEU which
413 states that "Member States undertake not to submit a dispute concerning interpretation or application of the
414 Treaties to any method of settlement other than those provided for therein."

415 The European Union's accession to the ECHR is configured also as essential step to achieve a consistent
416 approach between the external dimension and the internal dimension of European policy on human rights. The
417 paradox that had characterized the attitude of the Union, in fact, was to proclaim, on the one hand, a bastion
418 of human rights both inside and outside of the EU, and to escape, on the other hand, in front of absence of a
419 comprehensive and coherent design in this area, in both dimensions. Despite the fact that the main guardians of
420 human rights continue to be the Member States, each within its own territory, it is true that the EU had become
421 an active party, affirming the value of its effort in this direction on the international scene, influencing the third
422 countries through cooperation and trade agreements, imposing strict requirements on human rights for the states
423 that required the membership, and implementing a number of initiatives in support of human rights at the level
424 of civil society, as the surveillance of the elections or the monitoring activities. In any case, at least until the
425 middle of the decade, the European Union continued to lack a policy for human rights fully autonomous in both
426 dimensions.

427 Between 1995-2005 there has been a significant evolution with respect to human rights in external and internal
428 relations, with the achievement of a comprehensive, coherent and horizontal approach in this area. In this field,
429 and for all the nineties, EU policies have proved just credible enough to suggest a real abdication of responsibility
430 by the Union. On the one hand, remained an important issue of competences between the EU and Member
431 States, fearful in respect of any loss of sovereignty in this field (also because of the fact that human rights is
432 a branch growing and potentially extendable to every sphere of life), the risk could, in fact, be to exceed the
433 constitutional powers of the Union, limited to a small number of human rights. The rhetoric used by the Union
434 in the external relations regarding the importance of human rights, of their universality and indivisibility, helped
435 to undermine the Union's operate, making manifest the incoherency and vulnerability. In this way, the Union
436 became easily attacked by using the criterion of "two weights, two measures" in this context, demanding a lot
437 from nonmember countries, and thus applying a higher standard in external relations, and then tend to disappear
438 in domestic issues relating to the same countries that Year make it up. Commercial and economic power, and
439 therefore called upon to fulfill a role of responsibility in the field of human rights, the Union would not had to give
440 up its role as defender of these rights, and the role that tried to interpret in multilateral frameworks or relations
441 with third countries, and then reiterate its lack of general competence, as soon as we moved to the internal level.

442 Seen in this light, then, the question of the accession of the Community to the ECHR became a manifestation
443 of this contradiction. This is especially true when it considers that the principles of liberty, democracy, human
444 rights and rule of law was made a condition for the accession of new Member States and their membership in the
445 Convention system as a prerequisite to access request. On the contrary, the EU's accession to the ECHR would
446 be able to submit Union's action to the scrutiny of the Strasbourg Court of Human Rights, if the EU institutions
447 will not be vigilant enough, including the Court of Justice. In addition, in accordance with the criteria of the
448 indivisibility and universality, the internal and the external dimension should be two sides of the same coin.

449 The big loop that European human rights faced after 2000 is constituted, not only and not so much, in
450 terms of recovering the historical delay accumulated in the internal dimension in respect of the acceleration by
451 developments in external relations; it now resided in the need to make these two aspects mutually complementary.

452 The orientation of the Strasbourg Court vis-à-vis the control of EU acts shows that the interference zones
453 within the jurisdiction of the Luxembourg and Strasbourg Courts are still «susceptibles de s'accroître». Over the
454 years there has been a mutual cooperation in order to harmonize their legal guidelines and eliminate the seeds
455 of inconsistency inherent in the duality of mechanisms of protection.

456 From a technical and legal point of view, the accession of European Union to the ECHR, as was pointed out by
457 the Steering Committee of the human rights, involves not only some amendments of the text of the Convention
458 and the Protocols, but also modest administrative reforms. With regard to the amendments to the Convention, it
459 must be called up the ECHR norms regarding the accession (Article 59) and the enforcement of judgments of the
460 Court of Human Rights (Article 46). Regarding the Article 59 of the Convention, only the member states of the
461 Council of Europe can sign and ratify the Convention. The statutory provision in question has been changed from
462 Article 17 of Protocol n.14. Regarding the participation of the European Union to the Committee of Ministers,
463 the body responsible for monitoring the execution of final judgments of the Court, it should be noted that the
464 Article 46, paragraph 2 of the ECHR confers the right to vote in that body solely to the member states of the
465 Council of Europe, in accordance to Article 14 of the Statute. Therefore, to enable the Union to participate
466 actively in the Committee, in theory it would be necessary, not only a revision of the Convention, but also an
467 amendment to the Statute of the Council. It would be appropriate that the right to vote of the European Union
468 in the Committee of Ministers would not be limited only to EU issues but would include all matters discussed
469 by the Committee in the enforcement of judgments of the European Court.

470 The membership of the Union to the ECHR raises problems of terminology that does not seem, however, to
471 impose a reform of the Convention text.

472 First, the terms state and nation, or national security and economic well-being of the country contained in
473 certain articles of the Convention should be adapted to the presence of the European Union as a new member.
474 Since an ad hoc revision of each provision of the Convention would require a disproportionate effort compared
475 to the scope of terminological inaccuracies, such defaillance of the text could be overcome with the adoption
476 of a general clause of interpretation in order to clarify that these terms shall be applied mutatis mutandis to
477 the European Union. ??5 Then, with regard to the participation of the Union to the proceedings held before

478 the Strasbourg Court, at least two aspects are relevant. First, in case of an appeal to the European Court
479 directed against the Union, this may participate in the process as a defensean expert judge in European law
480 would be nominated for (au titre de) the Union, whose special status was excluded by virtue of the principle of
481 equality on which is based the entire conventional system. The judge of the Union shall enjoy the same status as a
482 representative judge as other contracting parties, ensuring the representation of all legal systems, the contribution
483 of each party to the collective guarantee mechanism established by the Convention and reinforces the legitimacy
484 of the decisions taken by the Court. Second, according to the Article 36, first paragraph of the ECHR, the Union
485 should have the right to participate in the hearings as a third party, in cases where the petitioner is a citizen.
486 This situation does not involve a revision of the Convention but it could be settled by an agreement between the
487 European Union and its member states, or better, in the context of the Accession Treaty.

488 With regard to the bureaucratic-administrative amendments, it is enough to mention the issue of the financial
489 contribution of the European Union to the costs of operation of the control mechanism of the Convention: that
490 question doesn't require an amendment of the Article 50 of the Convention and could be resolved by the adoption
491 of an ad hoc provision that contains an enabling legal basis.

492 From a substantive point of view, the membership of the European Union to the ECHR, according to some
493 scholars 56 , may have a negative effect on the functioning of the mechanism of protection of fundamental rights
494 created by the Convention because of the possible increase of individual appeals to the Strasbourg Court. But
495 also this problem is overcome by the Protocol n.14 which provides the strengthening of the capacity to filter
496 individual complaints, a more efficient process of categorizing the repetitive appeals and the new condition of
497 admissibility in base of the prejudice suffered by the petitioner.

498 6 Global

499 The analysis of the impact of the construction of a bridge between the legal and institutional systems of Strasbourg
500 and Luxembourg seems to "demystify" the question of accession, demonstrating not only that it is legally realistic
501 but also desirable. At this stage of negotiations, an evaluation of perspective on the postaccession in the European
502 constitutional space is essential: the new legal relationship between the two para-constitutional Courts will be
503 able to ensure consistent and effective protection of fundamental rights in Europe? Undoubtedly, there seems
504 clear that the accession of European Union to the ECHR, resulting in a major change in the relationship between
505 interinstitutional systems of Strasbourg and Luxembourg, will help to amplify the standard of protection of
506 fundamental rights in the European constitutional space.

507 Decided the accession of the Union, the discussion is now open with regards the modalities to realize it, taking
508 into account the particular nature of the Union without distort the uniform protection of human rights in Europe.
509 Many actors -not only within the Union -intervene in the process that opens. Technical aspects of the problems
510 and possible solutions will overlap the political dimension of such an event for the Union and its 27 Member
511 States, and for the Council of Europe and its 47 member states.

512 7 IV. Conclusions

513 As a result of establishment of a mechanism for formal connection between the Courts of Luxembourg and
514 Strasbourg, the accession will help to raise the standard of protection of the human rights of in the European
515 constitutional space on condition that there will be eliminated a series of legal and formal obstacles. By a
516 "convergence (only) parallel" between their jurisprudential you went to a flawed integration between the system
517 of Strasbourg and the Luxembourg, heralding further flaws in the protection of fundamental rights. The lack of
518 a legal and institutional link between the Union's system and the ECHR, has set up a sort of immunity from
519 jurisdiction in the hands of the European institutions even though their acts are liable to be sued before the
520 Strasbourg Court for violation of human rights.

521 Effectiveness also means homogeneity of the means of protection, so if the instrument is not uniform, the
522 protection will inevitably be inconsistent. Such considerations explain, therefore, the favor shown by the writer
523 towards the creation of a bridge between the institutional-regulatory system of Strasbourg and the Luxembourg,
524 a definitive actio finium regundorum. But, as noted, the accession of European Union to the ECHR raises a
525 number of procedural and substantial problems whose solution is a conditio sine qua non, so that the 'missing
526 link' created by the Lisbon Treaty brings the desired effects.

527 The accession will have to follow a long and complex procedure and will be subject to the unanimous approval
528 of the Member States of the European Union. The Council of Europe, for its part, will have to implement
529 significant institutional changes to allow the representation of the Union within the Court and the Committee of
530 Ministers. Formal and technical issues raised by accession, although solvable, definitely require time-consuming
531 and an accentuated collaboration and spirit of solidarity between Member States.

532 On the substantive level, the concrete partnership of the Courts of Strasbourg and Luxembourg within of the
533 new legal framework designed by the Lisbon Treaty must, in fact, ensure a harmonious and uniform protection
534 of fundamental rights in the following two different tools: the Charter of Nice and the European Convention of
535 Human Rights. Only through a regular cooperation between the two European Courts it could be resolved the
536 potential contradictions and conflicts of interpretation that the presence of two different Bill of Rights will be
537 able to cause. The Judges of Luxembourg and Strasbourg will facilitate the transition from a forced cooperation

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538 between them to a co-forced one, in line with the new European constitutionalism characterized by a multicenter
539 network of relations between courts, increased by the principle of loyal cooperation. It is not ruled out a healthy
540 competition between the courts for the seizure of "constitutional primacy" in Europe of rights; this would be a
541 beneficial competition especially for the EU law.
^{1 2 3}

542 The European Union assumes a policy, legal and cultural liability, a responsibility that can only encourage the
543 evolution and that, in fact, means that fundamental rights are at the base of a new sociocultural perspective. The
544 Union's presence as an independent subject in the Convention could mean the beginning of a renewed awareness
545 of the meaning of common European citizenship, could pave the way for a development of doctrine in the field
546 of fundamental rights such as to mark the opening of a new chapter for integration, as well as providing an
547 important tool for foreign policy, with which to enforce respect for human rights, at least in the area of ECHR.



Figure 1: I

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